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JAN 18 2002 GRAYCARY/GT.PATENT ATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

28213

**SUITE 1600** 

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/966,803

4365 EXECUTIVE DRIVE

SAN DIEGO, CA 92121-2189

GARY CARY WARE & FRIENDENRICH LLP

09/27/2001

Dennis Murphy

DIVER 1130-8

**CONFIRMATION NO. 3294** 

FORMALITIES LETTER

\*OC000000006956542\*

Copy of papers ORIGINALLY FILED

Date Mailed: 10/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

j. 03/14/2002 WINNELL 00000000 038777003

 The statutory basic filing fee is missing. DAS. 60 CP Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37

Total additional claim fee(s) for this application is \$3420.

01/24/2002 ANABI1 00000054 09966803

\$1710 for 95 total claims over 20. \$1440 for 18 independent claims over 3.

\$270 for multiple dependent claim surcharge.

01 FC:201 02 FC:203 03 FC:202 04 FC:204

370.00 OP 1017.00 DP 758.00 OP / 140.00 DF /

• The oath or declaration is unsigned.

05 FC:205

To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of

\$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 4260.

 This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be

submitted in lieu of a new CRF.

# For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

JAN 1 8 2002 THE TRADENAME ON THE

\$ SEGIOR

PATENT

Attorney Docket No.: DIVER1130-8

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Dennis Murphy et al.

Art Unit: 1652

Application No.:

09/966,803\_

Examiner: Unas

Unassigned

Filed:

September 27, 2001

Title:

ENZYMES HAVING AMIDASE ACTIVITY AND METHODS OF USE

**THEREOF** 

#### **BOX MISSING PARTS**

Commissioner for Patents Washington, D.C. 20231

# RESPONSE TO NOTICE TO FILE MISSING PARTS

COPY OF PAPERS ORIGINALLY FILED

Sir:

In response to the Notice to File Missing Parts of Application mailed October 23, 2001, enclosed are:

- 1. A copy of the Notice to File Missing Parts of Application (2 pages);
- 2. An executed Declaration For Patent Application (7 pages);
- 3. Check in the amount of \$2348.00.00; and
- 4. Permission to Use Sequence Listing (2 pages);
- 5. An executed Statement From Added Inventor Eric Mathur Agreeing to the Change of Inventorship (2 pages);
- 6. An executed Statement From Added Inventor Eric Mathur Agreeing to the Change of Inventorship (2 pages);
- 7. An executed Power of Attorney by Assignee (2 pages)

| CERTIFICATION UNDER 37 CFR §1.8  |   |  |  |  |  |
|--|---|--|--|--|--|
| I hereby certify that the documents referred to as o                       |   |  |  |  |  |
| United States Postal Service as first class mail on envelope addressed to: | this date, <b>December 21</b> , 2001, in an |  |  |  |  |
|  | 231.  |  |  |  |  |
| Commissioner for Patents, Washington, D.C. 202                             |   |  |  |  |  |
| Name of Person Mailing Paper   |   |  |  |  |  |
| Why a. Conde   |   |  |  |  |  |
| Signature //   |   |  |  |  |  |
|  | Date  |  |  |  |  |

Gray Cary\GT\6271634.1 104703-92 JAN 1 8 2002
In re Application of the Dental Murphy et al.

Application No.: 09/966,803 Filed: September 27, 2001

Page 2



PATENT Attorney Docket No.: DIVER1130-8

Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The filing fee has been calculated as follows.

|  | Number<br>Filed | Number<br>Extra | Rate                |                 | Fee             |                 |
|--|-----------------|-----------------|---------------------|-----------------|-----------------|-----------------|
|  |                 |                 | Large<br>Entity     | Small<br>Entity | Small<br>Entity | Large<br>Entity |
| Total Claims                                   | 133             | 113             |                     | 9.00            | 1,017.00        |                 |
| Independent Claims                             | 21              | 18              |                     | 42.00           | 756.00          |                 |
| Multiple Dependent Claims Presented: ⊠Yes □ No |                 |                 |                     | 140.00          |                 |                 |
|  |                 |                 | BASIC FEE TOTAL FEE |                 | 370.00          |                 |
|  |                 |                 |                     |                 | 2,283.00        |                 |

Enclosed is a check in the total amount of \$2,348.00, which includes \$65.00 for the surcharge fee, \$1,017.00 for total claims, \$756.00 for independent claims, \$140.00 for multiple dependent claims, and \$370.00 for the filing fee for missing parts of the application. The Commissioner is hereby authorized to charge any other fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: December 21, 2001

Lisa A. Haile, J.D., Ph.D.

Registration No.: 38,347 Telephone: (858) 677-1456 Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, California 92121-2133 USPTO Customer Number 28213